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INFORMATION DISCLOSURE TATEMENT BY APPLICANT

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Complete if Known					
Application Number	10/039,466				
Filing Date	January 3, 2002				
First Named Inventor	John D. Dobak, III				
Group Art Unit	3739				
Examiner Name	R. Kearney				
Attorney Docket Number	051002				

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S	heet	1 of	2	Attorney Do	cket Number	051002
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			U.S. F	ATENT DOCL	MENTS	
		U.S. Patent Document				
Examir er Initials'	No.1	Number Kind Code ² (if known)		or Applicant of Cited current	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passag or Relevant Figures Appear
ALL	2 AA	3,604,419	Diskin, et al.		09/14/1971	
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	AF	5,520,682	Baust et al.		05/28/1996	-
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	AJ	6,011,995	Guglielmi et	al.	01/04/2000	
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	AQ	6,409,747	Gobin et al.		06/25/2002	
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	AU	6,428,563	Keller		08/06/2002	
	AV	6,432,124	Worthen et a	ıl	08/13/2002	
	AW	20010027333	Schwartz		10/04/2001	
	AX	20010032003	Pecor		10/18/2001	<u> </u>
	AY	20020004675	Lasheras		01/10/2002	
	AZ	20020016621	Werneth et a	ıl	02/07/2002	
	BA	20020040717	Dobak III		04/11/2002	
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	BC	20020045925	Keller et al.		04/18/2002	
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	BI	20020082671	Magers et al		06/27/2002	
\perp	BJ	20020091378	Dobak III et a		07/11/2002	
\perp	BK	20020091429	Dobak III et a		07/11/2002	
4	BL	20020091430	Dobak III et a	al	07/11/2002	

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NFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known 10/039,466 **Application Number** January 3, 2002 Filing Date First Named Inventor John D. Dobak, III 3739 **Group Art Unit** R. Kearney **Examiner Name** 051002 Attorney Docket Number

П					U.S. PATENT DOCU	MENTS	
			U.S. Patent	Document			
er	amin ials'	Cite No. ¹	Number Code ²	Kind (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
T R	KB	ВМ	2002009	95200	Dobak III et al.	07/18/2002	
	1	BN	2002009	95201	Worthen et al.	07/18/2002	
	T^{-}	во	2002009	99427	Dobak III	07/25/2002	RECEIVED
	П	BP	2002010	03519	Dobak III et al.	08/01/2002	
		BQ	200201	11616	Dae et al.	08/15/2002	SFP 1 8 2002
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					FOREIGN PATENT DOC	UMENTS		
			Foreign Patent Documer	nt				
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7	R	BS	EP1205167A2		Alsius Corporation	05/15/2002		
	T^{-}	BU	WO 02/07793		Radiant Medical	01/31/2002		
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		CA	WO 02/36180		Alsius Corporation	05/10/2002		
		СВ	WO 02/38091		Innercool Therapies	05/16/2002		
		CC	WO 02/43577		Alsius Corporation	06/06/2002		
		CD	WO 02/47577		Alsius Corporation	06/20/2002		
		CE	WO 02/47742		Alsius Corporation	06/20/2002		
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,		CG	WO 02/056938		Alsius Corporation	07/25/2002		
		СН	WO 02/058606	_	Alsius Corporation	08/01/2002		
		CI	WO 02/060514		Innercool Therapies	08/08/2002		

Examiner Signature	R Karunlel	Date Considered	5/30/02

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Application Number	10/039,466
Filing Date	January 3, 2002
First Named Inventor	John D. Dobak, III
Group Art Unit	3739
Examiner Name	R. Kearney
Attorney Docket Number	(051002)

Į	10		**		U.S. PATENT DOCUMENTS					
ΕŊ	APIX		U.S. Patent [Document						
	Examin er Initials'	Cite No.¹	Number Code ²	Kind (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear			
1	7KP	AA	5,800,48	8	Crockett	09/01/98				
	7	AB	5,967,97	6	Larsen, et al.	10/19/99				

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Date Examiner Considered Signature

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Under the Paperwork Reduction Act of 1995, no persons are required to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** 10/039,466 NFORMATION DISCLOSURE Filing Date January 3, 2002 First Named Inventor John D. Dobak, III TATEMENT BY APPLICANT **Group Art Unit** 3739 (use as many sheets as necessary) **Examiner Name** Unknown **Attorney Docket Number** 051002

			U.S. PATENT DOCL	MENTS	
		U.S. Patent Document			
Examin er Initials'	Cite No.1	Number Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
ALL	2 AA_	5,106,368	Uldall et al.	04/21/1992	
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	AK	5,741,248	Stern et al.	04/21/1998	2
T	AL	5,755,690	Saab	05/26/1998	2
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\top	AS	6,248,057	Mavity et al.	06/19/2001	
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	AW	6,383,151	Diederich et al.	05/07/2002	
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Examiner Initials'	Cite No.1	Office ³ Number ⁴ Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	T ₈
RKR	AZ	WO 91/17788	Saab, Mark A.	11-28-1991		
	BA	WO 94/16760	Alliger et al.	08/04/1994		
	BB	WO 96/07448	Saab, Mark A.	03-14-1996		\neg
	ВС	WO 96/10367	The Regents of the University of California	04-11-1996		
	BD	WO 98/37822	Cryocath Technologies	09-03-1998		
	BE	WO 99/00064	Daig Corporation	01-07-1999		

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Application Number	10/039,466
Filing Date	January 3, 2002
First Named Inventor	John D. Dobak, III
Group Art Unit	3739
Examiner Name	Unknown
Attorney Docket Number	051002

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RK	<u>e</u>	BF	WO 99/52455	Cryocath Technologies	10-21-1999		
		BG	WO 00/32126	Cryocath Technologies	06-08-2000		
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		BJ	WO 00/42933	Cryocath Technologies	07-27-2000		
		BK	WO 00/51511	Atrionix, Inc.	09-08-2000		\vdash
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	1	BS	WO 00/67648	Atrionix, Inc.	11-16-2000		\dashv

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Attorney Docket Number

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of

Complete if Known Application Number 10/039,466 January 3, 2002 Filing Date John D. Dobak, III First Named Inventor **Group Art Unit** 3739 Unknown **Examiner Name**

051002

			U.S. PATENT DOCU	MENTS	
U.S. Patent Document					
Examin er Initials'	Cite No. ¹	Number Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Whare Relevant Passage or Relevant Figures Appear
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-1	AW	5,735,809	Gorsuch	04/07/1998	
\dashv	AX	5,800,483	Vought	09/01/1998	
\exists	AY	5,800,516	Fine et al.	09/01/1998	
-	AZ	5,820,593	Safar et al.	10/13/1998	
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\top	BB	5,868,735	Lafonatine	02/09/1999	
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1	BD	5,906,588	Safar et al.	05/25/1999	
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1	BG	5,913,856	Chia et al.	06/22/1999	
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	BJ	5,964,751	Amplatz et al.	10/12/1999	
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Herbert et al.

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Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY AREL

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of

First Named Inventor

Attorney Docket Number

Group Art Unit

Examiner Name

John D. Dobak, III

3739

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er No. ¹ Code ² (if known) Document Initials		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear				
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ロ		CQ	20020049409	Noda et al.	04/25/2002			
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12 Unique citation designation number. 2 See attached kinds of U.S. Patent Documents. 3 Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 8 Applicant is to place a check mark here if English language Translation is attached.

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	STATEMENT BY	V STHICK	AND	First Named Inventor	John D. Dobak, III
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			#.1	Application Number	10/039,466
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	STATEMENT BY	ANDIA	GANT	First Named Inventor	John D. Dobak, III
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			OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS				
		Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the ite (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
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Date Examiner Considered Signature

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		U.S. Patent Document	U.S. PATENT DOCU	1	
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Complete if Known Substitute for form 1449A/PTO **Not Yet Known Application Number** Herewith Filing Date INFORMATION DISCLOSURE First Named Inventor John D. Dobak, III STATEMENT BY APPLICANT 3737 **Group Art Unit** Unknown **Examiner Name** (use as many sheets as necessary) 051002 Sheet 12 Attorney Docket Number 3 of

			-	U.S. PATENT DOCU	MENTS	
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Exan er Initia		Cite No. ¹	Number Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
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First Named Inventor	John D. Dobak, III						
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				U.S. PATENT DOCU	MENTS	
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STATEMENT BY APPLICANT	First Named Inventor	John D. Dobak, III	
STATEMENT BY ALL COANT	Group Art Unit	3737	
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Attorney Docket Number

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Examin er Initials'	Cite No. ¹	Number Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passage or Relevant Figures Appear	
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Examiner		Date	T/21/13	
Signature	I of Kechney Hollan	Considered	6/2/0	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

12 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document by the two-letter code (WIPO

¹²Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and trademark Office, Washington, DC 20231.

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				Complete if Known			
Substitute	for form 1449A/P	10		Application Number	Not Yet Known		
INF	ORMATION	DISCLO	OSURE	Filing Date	Herewith		
STATEMENT BY APPLICANT				First Named Inventor	John D. Dobak, III	2.00	
317	ZIEWENI E	,, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	JOAN	Group Art Unit	3737		
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Sheet	6	Of	12	Attorney Docket Number	051002		

			U.S. PATENT DOCU	IMENTS	
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Examin er Initials'	Cite No.1	Number Kind Code ² (if know	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
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12 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document by the two-letter code (WIPO) Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute	for form 1449A/PT	10		Application Number	Not Yet Known	
INF	ORMATION	DISCL	OSURE	Filing Date	Herewith	
STATEMENT BY APPLICANT				First Named Inventor	John D. Dobak, III	
317	VIEWENT D			Group Art Unit	3737	
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Sheet	7	of	12	Attorney Docket Number	051002	

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	\perp	B30	WO	WO 01/30413	Alsius Corporation	05/03/2001		+
	$oldsymbol{oldsymbol{oldsymbol{\sqcup}}}$	B31	wo	WO 01/41708	Alsius Corporation	06/14/2001		+
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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12Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO				Complete if Known		
Substitute	ior torm 1449b/PTC	,		Application Number	Not Yet Known	
INF	ORMATION D	DISC	LOSURE	Filing Date	Herewith	
STATEMENT BY APPLICANT				First Named Inventor	John D. Dobak, III	
517	(I EWIEW DI	Λ,	LIOAIII	Group Art Unit	3737	
(use as many sheets as necessary)				Examiner Name	Unknown	
Sheet	8	of	12	Attorney Docket Number	051002	

				FOR	REIGN PATENT DOCUMEN	NTS		
Exam er Initial		Cite No. ¹	Office ³ N	oreign Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	Τ°
A)K	4	B37	wo	WO 01/52781	Radiant Medical, Inc.	07/26/2001		┷
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	Signature 74/1401	THULL HOWEVIN		0 10 0 100

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Substitute for form 1440D/DTO		Complete if Known			
Substitute	Substitute for form 1449B/PTO		Application Number	Not Yet Known	
INFO	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	Herewith
				First Named Inventor	John D. Dobak, III
317				Group Art Unit	3737
(us	(use as many sheets as necessary)			Examiner Name	Unknown
Sheet	9	of	12	Attorney Docket Number	051002

		OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials' Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
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Substitute	Substitute for form 1449B/PTO			Application Number	Not Yet Known	
INFO	ORMATION	DISC	LOSURE	Filing Date	Herewith	
	STATEMENT BY APPLICANT			First Named Inventor	John D. Dobak, III	
317	(I FIAIFIA I D	1 71	LIOAITI	Group Art Unit	3737	
(use as many sheets as necessary)			ecessary)	Examiner Name	Unknown	
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				First Named Inventor	John D. Dobak, III
SIAI				Group Art Unit	3737
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